

HSBC Bank (Singapore) Limited Request for Transfer of Securities/Unit Trust Form

Please complete the securities transfer form and send it back to your Relationship Manager.

Please tick one of the appropriate boxes below.

- Transfer from the below Third Party Bank/Broker into my/our HSBC Investment Account**
 Transfer from my/our HSBC Investment Account into the below Third Party Bank/Broker
 Transfer within HSBC Bank (Singapore) Limited

Details for External Transfer

HSBC Account Details

Name of Account Holder: _____

Securities Account No: - -

Coupon/Dividend Crediting Account no.: - -

Reason for Transfer _____

Third Party Bank*/Broker

Third Party Bank's/Broker's Name _____

Location of Third Party Bank/Broker _____

Name of Account Holder(s) in Third Party Bank/Broker _____

Account Holder(s)'s Date of Birth^ _____

Account Holder(s)'s Country of City of Residence _____

Registered Address (Only applicable for corporate entity) _____

Principal Place of Business (if differ from Registered Address) _____

Account Holder(s)'s Investment Account no. _____

Third Party Bank/Broker Contact Person _____

Third Party Bank/Broker Contact email address _____

Third Party Bank/Broker Contact no. _____ (M) _____ (H)

Relationship to Account Holder(s) of
Third Party Bank/Broker Investment Account _____

Important Notes

* Customer should also send a matching instruction to the third Party Bank/Broker.

Securities/Bonds/Structured Products

SECURITY NAME (IN FULL DESCRIPTION)	ISIN	CURRENCY	QUANTITY	ORIGINAL PURCHASE PRICE/AVERAGE UNIT COST

N/B : For SGX transfer please use the CDP approved Form CDP Form 4.2

Fees and Stamp Duties

I acknowledge that transfer fees and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account. Please debit my HSBC deposit account no. - - for Transfer Fees and applicable stamp duties.

	Transfer In	Transfer Out	Internal Transfer
US Stocks	No charges	Transfer Fee: 0.5% of market value + prevailing GST at 7% where applicable	No charges
Singapore Stocks	CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 (SGD5.35 inclusive of GST) Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD80.25 inclusive of GST) Note: For transfer IN from CDP – full CDP charge of SGD10 (SGD10.70 inclusive of GST) or min. SGD75 (SGD80.25 inclusive of GST) (for CBO)	CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 (SGD5.35 inclusive of GST) Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD80.25 inclusive of GST) Note: For transfer OUT to CDP – full CDP charge of SGD10 (SGD10.70 inclusive of GST) or min. SGD75 (SGD80.25 inclusive of GST) (for CBO) AND Stocks Transfer Fee: 0.5% of market value + prevailing GST at 7% where applicable	CDP charges: NOTE: to be charged for both transferor & transferee NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 (SGD5.35 inclusive of GST) Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD80.25 inclusive of GST)
Singapore Corporate Bonds	CDP charges: SGD5 (SGD5.35 inclusive of GST) Note: For transfer IN from CDP – full CDP charge of SGD10 (SGD10.70 inclusive of GST)	CDP charges: SGD5 (SGD5.35 inclusive of GST) Note: For transfer OUT to CDP – full CDP charge of SGD10 + prevailing GST where applicable AND Bonds Transfer Fee: USD100 (USD107 inclusive of GST)	CDP charges: NOTE: to be charged for both transferor & transferee: SGD5 (SGD5.35 inclusive of GST)
Hong Kong Stocks	NCBO* - no charges CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)	NCBO* - No Stamp duty CBO - Stamp duty (to be calculated and provided by custodian and charged to customer) Transfer Fee: 0.5% of market value + prevailing GST at 7% where applicable	NCBO* - no charges CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)
Singapore Government Bonds	No charges	No charges	No charges
International Bonds	No charges	Transfer Fee: USD100 (USD107 inclusive of GST)	No charges
Structured Products	No charges	Transfer Fee: 0.5% of market value + prevailing GST at 7% where applicable	No charges

*NCBO refers to "No Change in Beneficiary Ownership"
BO refers to "Beneficiary Ownership"

Important Notes

For securities, transfer in/out fees are charged based on the table of charges above at the value of the securities value based on the previous business day's closing price, unless advised otherwise by you. HSBC reserves the right to change such transfer fees without giving notice.

UNIT TRUST

NAME OF INVESTMENT	FUND CODE	CURRENCY	QUANTITY	ORIGINAL PURCHASE PRICE/ AVERAGE UNIT COST	REMARKS

Details for Internal Transfer

Details of Transferor

Customer Name(s) _____

Investment Account No. - -

Reason for Transfer _____

Relationship to Transferee _____

I acknowledge that transfer fees and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. - for Transfer Fees and applicable stamp duties.

Note: Transferor is the party(s) transferring out the securities

