

HSBC Bank (Singapore) Limited Request for Transfer of Securities/Unit Trust Form

Please complete the securities transfer form and send it back to your Relationship Manager.

Please tick one of the appropriate boxes below.

- Transfer from the below Third Party Bank into my/our HSBC Investment Account
 Transfer from my/our HSBC Investment Account into the below Third Party Bank
 Transfer within HSBC Bank (Singapore) Limited

Details for External Transfer

HSBC Account Details

Name of Account Holder: _____

Securities Account No:

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Coupon/Dividend Crediting Account no.:

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Reason for Transfer: _____

Third Party Bank*

Third Party Bank's Name _____

Location of Third Party Bank _____

Name of Account Holder(s) in Third Party Bank^ _____

Account Holder(s)'s Date of Birth^ _____

Account Holder(s)'s Country of City of Residence^ _____

Registered Address (Only applicable for corporate entity) _____

Principal Place of Business (if differ from Registered Address) _____

Account Holder(s)'s Investment Account no. _____

Third Party Bank Contact Person _____

Third Party Bank Contact no. _____ (M) _____ (H)

Relationship to Account Holder(s) of _____

Third Party Bank Investment Account _____

Important Notes

*Customer should also send a matching instruction to the third Party Bank.

U.S. MARKETS SECURITIES

The U.S. markets securities will be transferred from the following brokerage firm:

Existing Brokerage Firm & Account No: _____

Custodian Account No: _____

DTC Participant Code: _____

Broker/Contact Name: _____

Contact No: _____

Broker/Contact Email: _____

I'd like to transfer the following securities into my HSBC account (stated above) on _____
(Transfer Date)

SECURITY NAME (IN FULL DESCRIPTION)	STOCK CODE	QUANTITY	ORIGINAL PURCHASE PRICE/ AVERAGE UNIT COST	REMARKS

SGX MARKET SECURITIES

N/B : For SGX transfer please use the CDP approved Form CDP Form 4.2

The SGX market securities will be transferred from the following depository agent:

Depository Agent Name: _____

Depository Agent No. : _____

Broker/Contact Name: _____

Contact No. : _____

I'd like to transfer the following securities into my HSBC account (stated above) on _____
(Transfer Date)

SECURITY NAME (IN FULL DESCRIPTION)	STOCK CODE	QUANTITY	ORIGINAL PURCHASE PRICE/ AVERAGE UNIT COST	REMARKS

HKEX MARKET SECURITIES

The HKEX market securities will be transferred from the following depository agent:

Depository Agent Name: _____
 Depository Agent No. : _____
 Broker/Contact Name: _____
 Contact No. : _____

I'd like to transfer the following securities into my HSBC account (stated above) on _____
 (Transfer Date)

SECURITY NAME (IN FULL DESCRIPTION)	STOCK CODE	QUANTITY	ORIGINAL PURCHASE PRICE/ AVERAGE UNIT COST	REMARKS

	Transfer In	Transfer Out	Internal Transfer
US	No charges	Transfer Fee: 0.5% of market value + prevailing GST where applicable	No charges
Singapore	<p>CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 + prevailing GST where applicable</p> <p>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 + prevailing GST where applicable</p> <p>Note: For transfer IN from CDP – full CDP charge of SGD10 or min. SGD75 (for CBO) + prevailing GST where applicable</p>	<p>CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 + prevailing GST where applicable</p> <p>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 prevailing GST where applicable</p> <p>Note: For transfer OUT to CDP – full CDP charge of SGD10 or min. SGD75 (for CBO) + prevailing GST where applicable</p> <p>AND</p> <p>Transfer Fee: 0.5% of market value + prevailing GST where applicable</p>	<p>CDP charges: NOTE: to be charged for both transferor & transferee NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD5 + prevailing GST where applicable</p> <p>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 + prevailing GST where applicable</p>
Hong Kong	NCBO* - no charges CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)	NCBO* - No Stamp duty CBO - Stamp duty (to be calculated and provided by custodian and charged to customer) Transfer Fee: 0.5% of market value + prevailing GST where applicable	NCBO* - no charges CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)

*NCBO refers to "No Change in Beneficiary Ownership"
 BO refers to "Beneficiary Ownership"

Important Notes

For securities, transfer in/out fees are charged based on the table of charges above at the value of the securities value based on the previous business day's closing price, unless advised otherwise by you. HSBC reserves the right to change such transfer fees without giving notice.

Fees and Stamp Duties

I acknowledge that transfer fees^{^^} and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. - - for Transfer Fees and applicable stamp duties.

BONDS

SECURITY NAME (IN FULL DESCRIPTION)	STOCK CODE	QUANTITY	ORIGINAL PURCHASE PRICE/ AVERAGE UNIT COST	REMARKS

	Transfer In	Transfer Out	Internal Transfer
Singapore Corporate Bonds	CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD1 + prevailing GST where applicable Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 + prevailing GST where applicable	CDP charges: NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD1 + prevailing GST where applicable Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 + prevailing GST where applicable	CDP charges: NOTE: to be charged for both transferor & transferee NCBO* (A-A), Joint Account (AB-A) & Immediate Family Transfer (e.g. Father to Son): SGD1 + prevailing GST where applicable Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 + prevailing GST where applicable
Singapore Corporate Bonds	NOTE: For Transfer IN from CDP – full CDP charge of SGD2 or 1.5bps of settlement value, min SGD75 (for CBO) + prevailing GST where applicable	NOTE: For Transfer OUT to CDP – full CDP charge of SGD2 or 1.5bps of settlement value, min SGD75 (for CBO) + prevailing GST where applicable AND Transfer Fee: USD100 + prevailing GST where applicable	
Singapore Government Bonds	No charges	No charges	No charges
International Bonds	No charges	Transfer Fee: USD100 + prevailing GST where applicable	No charges

*NCBO refers to "No Change in Beneficiary Ownership"
BO refers to "Beneficiary Ownership"

Fees and Stamp Duties

I acknowledge that transfer fees^{^^} and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. -- for Transfer Fees and applicable stamp duties.

UNIT TRUST

NAME OF INVESTMENT	FUND CODE	CURRENCY	QUANTITY	BOOK COST/ AVERAGE UNIT COST	REMARKS

Details for Internal Transfer (Bonds, Securities and Unit Trusts)

Details of Transferor

Customer Name(s) _____

Investment Account No. --

Reason for Transfer _____

Relationship to Transferee _____

I acknowledge that transfer fees^{^^} and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. -- for Transfer Fees and applicable stamp duties.

Note: Transferor is the party(s) transferring out the securities

Details of Transferee

Customer Name(s) _____

Investment Account No. --

Coupon/Dividend Crediting Account no. -- (This crediting account will override all existing standing instructions for the same currency)

I acknowledge that transfer fees^^ and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. -- for Transfer Fees and applicable stamp duties.

FundMax Administration Fee (Applicable to transfer out of FundMax account only)

Total Administration Fee for Transfer Out

1% of current total market value to be transferred S\$ _____ x 1% = S\$ _____

The administrative fee including GST, where applicable, shall be debited from my HSBC deposit account.

Debit and Instructions

Debit my/our HSBC account no. --

Important Notes

There is no fees involved for transfer in/out of Unit Trust. For Fundmax administration fees will be charged for transfer out.

Declaration

1. I/We will not hold HSBC Bank (Singapore) Limited ("HSBC") liable for any loss that I/we may incur as a result of any delay in the transfer due to circumstances beyond HSBC's reasonable control.
2. I/We understand that I/we shall not execute any sale trade of the above securities/units until the transfer has been completed.
3. I/we confirm that I/we will independently consider the suitability of the above transfer to my/our finance needs, risk profile, knowledge and experience.
4. Where personal data is submitted by me/us on behalf of another individual, I/we represent and warrant to HSBC that all the necessary consents have been obtained from the relevant individuals and that I/we have retained proof of these consents, such proof to be provided upon HSBC's request.
5. I/We acknowledge that I/we have read and understood the term and conditions governing the investment/security account held with HSBC, a copy of which can be obtained from any HSBC branch, and I/we undertake (jointly and severally) to be bound by them.
6. I/We acknowledge that HSBC does not guarantee the liquidity of the above securities/units that I am/we are requesting to transfer.
7. I/We acknowledge and understand that I am/we are requesting to transfer the above securities/units to my HSBC account on an "execution-only" basis and that I am/we are not acting on any advice or recommendation from the HSBC.
8. I/We understand that I/we will need to provide the original purchase price of the relevant securities/assets if I/we would like the information to be available on HSBC's system.
9. I/ We acknowledge that I am/we are solely responsible for understanding and complying with my/our tax obligations (including but not limited to, tax payment or filing of returns) in all jurisdictions in which those obligations arise from the above transfer of securitiesunits. I/We acknowledge that HSBC does not provide tax advice and hence I/we confirm that I/we have had the opportunity to seek and obtain independent tax advice from a professional tax advisor of my/our choice about my/our tax position and obligations.

Important Notes

1. The personal data you are submitting is being collected for the purposes stated in HSBC's Data Protection Policy, a copy of which may be found at <http://www.hsbc.com.sg/1/2/miscellaneous/privacy-and-security>.
2. The actual transfer date is dependent on the counter party and respective fund house to effect the transfer in/out.

Signature of Customer

SV

Full name _____

Date _____

Signature of Customer

SV

Full name _____

Date _____

Signature of Customer

SV

Full name _____

Date _____

Signature of Customer

SV

Full name _____

Date _____

For Bank use only			
Branch	Branch Cost Centre	Name of Staff	Staff Contact
		I/E Item - Product Code	Bonds & Securities - CMR RBD GST Charges - VATOUP SEC
			For Fundmax - UT: - CMR USF