

HSBC Bank (Singapore) Limited Securities/Structured Products Instruction Form

Branch and Branch code:

Account Details

Customer Name(s): _____
Investment account no. - - Customer no. -

Transaction Information

Transaction Type: ☐ I/We wish to **BUY** the following product ☐ I/We wish to **SELL** the following product

FOR PURCHASE/SALE OF BONDS

Security/Product Name			ISIN Code	Coupon %	Maturity Date
Price or better	Investment Currency	Nominal Value	Indicative Accrued Interest		Indicative Total Proceeds (Indic Principal + Indic Acc Int)

FOR PURCHASE/SALE OF STRUCTURED NOTES

Security/Product Name			Security Code
No. of Securities	Investment Currency	Nominal Value	For Structured Notes Redemption only: Redeem at _____ or better Reason for redemption: _____

FOR PURCHASE OF Equity Linked Notes (ELN)

Security/Product Name				Underlying Shares	
Trade and Strike Date	Issue Date	Valuation Date	Maturity Date	Strike Level %	Order Type (for Initial Price) <input type="checkbox"/> Market on Close Order
No. of Securities	Investment Currency	Issue Price	Nominal Value	Total Proceeds (Nominal Value x Issue Price)	

Payment/Settlement Instructions

☐ Debit/Credit my/our HSBC account no. - - For Everyday Global Account, please specify currency _____
☐ Debit my/our transactional account for charges incurred during transaction and All In One Document or Product Key Terms (as the case may be) & other offering documents (if applicable)
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Declarations

- By providing the information set out in this application, I/we consent to the collection, use and disclosure of the personal data provided in this form by HSBC Bank (Singapore) Limited ("HSBC"), its agents and authorized service providers as well as relevant third parties, for purposes reasonably required by HSBC in connection with the transactions which I'm entering into with HSBC. These purposes are set out in HSBC's prevailing Data Privacy Policy (as may be amended from time to time), which may be found at HSBC's website <https://www.hsbc.com.sg/privacy-statement>. I/we understand that I/we may request that the relevant section of the Data Privacy Policy to be provided to me for my/our perusal prior to signing. I/we understand that the Data Privacy Policy forms a part of the terms and conditions governing my/our relationship with you (including without limitation, the Investment Terms, the Risk Disclosure Statement, the HSBC Account User Agreement and the product specific terms) and should be read in conjunction with the same. I/we confirm I/we have read and understood the Data Privacy Policy.
- I/we have (i) received either a copy of the following documents governing my/our relationship with you, or information on where to access such documents, (ii) read and understood the nature and contents of these documents and (iii) agree to be bound by them:
 - the Investment Terms;
 - the HSBC Account User Agreement;
 - the Risk Disclosure Statement;
 - the Best Execution Client Disclosure Statement;
 - your prevailing Data Privacy Policy;
 - For Structured Notes and ELNs: All In One Document or Product Key Terms (as the case may be) & other offering documents (if applicable);
 - For Bonds: Bond Information Sheet and other offering documentation (if applicable).
- I/we confirm on Trade Date, you are authorized to withhold funds in my/our account to complete the execution of the above Trade and to debit my/our account accordingly on Settlement Date.
- I/we hereby declare that I/we are transacting for my/our own account and not on behalf of any other person or entity.

☐ Customer Account Review:
 I/we understand that, based on the outcome of my/our Customer Account Review, I am/we are assessed not to have the relevant knowledge or experience in derivatives. I/we acknowledge that it is my/our responsibility to ensure that I/we understand the risks and features of the listed Specified Investment Product(s) that I/we intend to transact in. I/we confirm my/our instruction to transact in the listed Specified Investment Product(s) and I/we acknowledge that I/we will be solely responsible for my/our investment decisions.
 ☐ Customer Knowledge Assessment:
 I/we understand that, based on the outcome of my/our Customer Knowledge Assessment, I am/we are assessed not to have the relevant knowledge or experience in unlisted Specified Investment Product(s). I/we acknowledge that it is my/our responsibility to ensure that I/we understand the risks and features of the unlisted Specified Investment Product(s) that I/we intend to transact in. I/we confirm my/our instruction to transact in the unlisted Specified Investment Product(s) and I/we acknowledge that I/we will be solely responsible for my/our investment decisions.
- I/we confirm that W8BEN form has been completed and submitted and I/we am/are eligible to trade US securities. I/we undertake to advise you within 30 days of any change in circumstances which affects my tax residency or causes the information contained in the W8BEN form to become incorrect, and provide you with an updated W8BEN form.
- I/we understand that for transactions involving US securities as underlying, there shall be no cancellation of orders upon submission of this form.
- Please note that the bond price, accrued interest and total consideration amount/total proceeds quoted for each bond pre-trade are indicative only. The final price, accrued interest and total consideration amount/total proceeds will only be known after the bond trade is fully executed and will be reflected in the Bond Contract Note which will be sent to you.

Only for customers with existing Securities Financing Facility

- ☐ I/we confirm that the purchase of the above Security / Securities is financed / part-financed by the loan proceeds of my/our Securities Financing facility and I/we understand that I/we am/are bound by the terms and conditions set out in the Letter of Offer and Memorandum of Charge, which I/we have signed and accepted.

Signature of Main Applicant

 SV

Date _____

Signature of Joint Applicant

 SV

Date _____

Signature of Joint Applicant

 SV

Date _____

Signature of Joint Applicant

 SV

Date _____

For Bank use only

For bond transaction only:	Final Executed Price:	Final Accrued Interest:	Final Total Proceeds (Final Principal + Final Accrued Interest):
For structured notes redemption only:	Actual Redemption Price:	Total Settlement Proceeds (Actual Redemption Price x Nominal Amount):	
For Securities Financing (877) (Verification for all Buy Trades)	(Buy Trade: Validate against LO , IG bonds within AB Listing &/or Structured Product listing [Exclude : HY Bonds]	Verified by (CSM/PSM/SM/TM)	
Sales Staff ID (Mandatory field by sales staff)	If FX involved, any pre-booked FX rate for the transaction? <input type="checkbox"/> No, please use prevailing rate in system <input type="checkbox"/> Yes, FX rate _____ Dealer's reference: _____		Authorised signature, branch stamp & name stamp
EGA Account No. with actual account suffix			Trade date Settlement date