

HSBC Bank (Singapore) Limited (the "Bank") Unit Trust Instruction Form

Investment account name _____
Investment account no. - -

Customer no. -

Subscription				
Name of Fund	Fund Code	Currency	Gross Investment Amount†	Front End Load

Monthly Investment Plan (MIP) Subscription				
Name of Fund	Fund Code	Initial Lump Sum*	Monthly Amount	Monthly Debit Date (1 st to 28 th)

*For any new Monthly Investment Plan (MIP), minimum initial contribution is required even if investor is already holding the fund. Please refer to Fund Centre/Fund Prospectus/Fund offering document for details of initial contribution.

Debit and Credit Instructions

- ☐ Debit my/our HSBC account no. - - (Currency) _____
- ☐ Credit my/our HSBC account no. - - (Currency) (applies to cash dividend funds only) _____

Redemption/Cancellation/Switching						
Name of Fund	Fund Code	Redemption	Cancellation	Switch within the same Fund House		
		No of Units /Shares	No of Units /Shares	No of Units /Shares	Switch To (Fund Name)	Fund Code

Reasons for cancellation/switching: _____

Note: A separate instruction is required for termination of MIP

Credit Instructions

- ☐ Credit my/our HSBC account no. - - (Currency) _____

Declaration/Agreement by each Applicant

(Please tick (✓) where applicable)

- I hereby declare that I am transacting for my own account and not on behalf of any other person or entity.
- I have received, read, and understood the following document(s) as applicable, relating to the fund(s) applied for and the material information contained in the documents has been highlighted to me/us: Fund Factsheet, Prospectus/Fund offering document, Service Guide, Goal Planner Report, Product Highlight Sheet.
- I understand that investments in fund(s) are not guaranteed unless otherwise stated in the prospectus. They are subject to risks, including the possible loss of principal invested.
- I understand that my/our 7-days cancellation rights (if applicable) are subject to the conditions specified in the Notice overleaf, or any such guidelines on cancellation as the Monetary Authority of Singapore may impose and/or the relevant fund prospectus.
- Applicable to switching of products or funds
 - I understand that switching means a situation where I have sold all or part of a product bought previously in order to buy or increase investment in another product.
 - I am replacing or intend to replace an existing fund or product with the Bank or another financial institution (whether in full/partial) to invest in this product ☐ Yes ☐ No
 - I have been advised by your adviser to replace an existing fund or product (whether in full/partial) to invest in this product ☐ Yes ☐ No
 - I understand that when I switch from one fund to another fund or another product, I may incur costs and be disadvantaged.
 - The adviser has drawn my attention to the costs and possible disadvantages which may outweigh any potential benefits from the switch, and I have been informed of all free switching options (where applicable).
 - I wish to proceed with the switch notwithstanding cost and other possible disadvantages.
 - I understand and agree that the number of units/shares to be subscribed in respect of the new fund(s), under a fund switching instruction, can only be determined upon the confirmation of the redemption price.
 - I have read and understood the "Important notice relating to switching" outlined in the Investment Account Opening Booklet.
- In the case of a redemption, I have made the decision to redeem independently. I am aware that the proceeds on redemption are subject to the prevailing NAV at the time of redemption, and I may suffer substantial losses if the prevailing NAV is lower than my subscribed NAV.
- I/we acknowledge that I/we have (i) received either a copy of the documents governing this transaction or information on where to access such documents, i.e., the Investment Account Opening Booklet, the Investment Terms, the HSBC Account User Agreement, the Risk Disclosure Statement, the Best Execution Client Disclosure Statement, the Data Privacy Policy and the terms and conditions governing unit trust transactions (as applicable), (ii) read and understood the nature and contents of these documents and (iii) agree to be bound by the terms set out in these documents.
- Where I have applied for FundMax, I agree to be bound by the applicable terms and conditions, copies of which are available at any of the Bank's branches.
- I understand that there are potential trailer fees on fund(s) that range between 0% and 1%.
- For Offshore Customers:
 - I confirm that I have approached the Bank in relation to the investment(s) I wish to apply to and the decision to enter into a transaction is made on my own volition having read and understood all relevant product literature, without any prior solicitation made by the Bank.
 - I confirm that I have not received any investment advice, suggestions or order guidance from the Bank relating to the investment(s) detailed above and confirm that this transaction is being made at my own volition and at my own risk having read and understood all relevant product literature. I am aware that I am unable to rely on Section 36 of the Financial Advisers Act (Cap. 110).
 - I understand that reference made to the "Goal Planner Report" in clause 2 above does not apply to offshore customers.
- I understand that it may take up to 11 business days (commencing from the date that the fund house credits the monies to the Bank) for redemption proceeds and dividend proceeds to be credited to my account.
- I declare that I am not a citizen and/or resident of the countries (including but not limited to the US and Canada) who are not permitted to invest in the funds as set out in the relevant sales documents provided. I undertake to inform the Bank immediately if I become a citizen and/or resident of these countries, in which circumstances I may be obliged to redeem the units/shares of the respective funds.
- Where the English and translated versions of the Fund Factsheet are provided, I understand that (i) the Bank cannot assure the accuracy or completeness of the translated documents and (ii) the English version shall always prevail.
- By providing the information set out in this form, I/we consent to the collection, use and disclosure of the personal data provided in this form by HSBC Bank (Singapore) Limited ("HSBC"), its agents and authorised service providers as well as relevant third parties, for purposes reasonably required by HSBC to provide the products or services which I/we are applying for. These purposes are set out in HSBC's prevailing Data Privacy Policy (as may be amended from time to time), which may be found at HSBC's website <https://www.hsbc.com.sg/privacy-statement>. I/we understand that: (i) I/we may request that the relevant section of the Data Privacy Policy to be provided to me/us for my/our perusal prior to signing and (ii) the Data Privacy Policy forms a part of the terms and conditions governing this transaction (including the terms and conditions governing the unit trust transactions, the HSBC Account User Agreement, the Investment Account Opening Booklet, the Investment Terms, the Risk Disclosure Statement and the Best Execution Client Disclosure Statement) and should be read in conjunction with the same. I/we confirm I/we have read and understood the Data Privacy Policy.

Only for customers with existing Securities Margin Financing Facility and/or Securities Financing Facility

- ☐ I confirm that the purchase of the above fund(s) is financed / part-financed by the loan proceeds of my Securities Financing facility and I understand that I am bound by the terms and conditions set out in the Letter of Offer and Memorandum of Charge, which I have signed and accepted.
- ☐ I confirm that I would like to apply for a Loan under my Securities Margin Financing Facility to finance / part-finance the purchase of the above fund(s), subject to any ceiling limit(s) applicable to my Securities Margin Financing Facility. I acknowledge that I am bound by the terms and conditions set out in the Securities Margin Financing Account Opening Forms and the Terms and Conditions for Securities Margin Financing. In particular, I understand that under the Securities Margin Financing Facility, I may redeem or cancel the funds but I may not switch funds. I also understand that if the Bank decides not to grant me a Loan, the Bank will not process the purchase Instructions above and if I wish to proceed with the purchase of the above fund(s), I will have to give new purchase Instructions.

Important Notice Relating To Cancellation (applicable to authorised funds only)

You, the investor*, have the right to cancel an agreement to purchase units within 7 calendar days ("cancellation period") from the date you sign the agreement. You may exercise your right by giving written instructions (on the Bank's unit trust transaction form) to the Bank at its branches, via the Internet or ordinary mail, within the cancellation period.

Upon receipt of a cancellation instruction by the Bank, units allocated will be cancelled at the prevailing market price, as if the cancellation was a redemption. You will receive the cancellation proceeds (including the initial sales charge or front end load which will be refunded to you), less any reasonable expense incurred by the Bank in processing your purchase and cancellation instructions. However, should the market value of the units be higher than the original amount paid by you, the profits will be retained in the fund.

During the cancellation period, you may also elect to redeem or switch. If you elect to redeem, please note the following:-

- (i) you will not be able to enjoy the benefits of cancellation (ie no refund of initial sales charge and redemption charges may apply), potentially resulting in you receiving less proceeds than if you had cancelled the units, if the appreciation in the value of units is less than the initial sales charge; and
- (ii) published prices are indicative in nature and can change during the period between the submission and processing of the redemption request.

If you elect to switch your units to another fund, please note that:-

- (i) you will not receive any refund of the initial sales charge pertaining to the original purchase;
- (ii) it is not certain whether you will be in a better or worse position as compared to exercising your right to cancel;
- (iii) you will be liable for any switching fee payable on conversion; and
- (iv) you may, at the discretion of the fund manager, be entitled to exercise your cancellation rights in respect of the fund(s) that you have switched to, provided that the applicable cancellation conditions imposed are satisfied.

*No cancellation rights apply to investors other than individuals, to subsequent purchases in the same fund, to offshore funds, and in the case of Monthly Investment Plans, in respect of the second and any subsequent payments.

Signature of Main Account Holder

SV

Full name _____

Date _____

Signature of Joint Account Holder

SV

Full name _____

Date _____

For Bank use only

For Financing (877 & 878) (Verification of documents for all Buy Trade)	Buy Trade: Validate against Email approval, or LO, &/or ARL listing	Verified by (CSM/PSM/SM/TM)
For Securities Margin Financing (878)	Buy trade :RM call verification	Verified by (CSM/PSM/SM/TM)

*Gross Investment Amount indicates amount inclusive of front end load.