

Product Filter Questionnaire

1. We have products with different assigned in-house risk rating (as shown in the table below). Please select from the following options.

Options	Product Risk Rating	*Risk/Return	Example Product Options
	1	Minimal chance of loss or gain +/- 5%)	Structured Notes, Bonds , Bond UT funds
	2	Low chance of loss or gain +/- 10%)	Structured Notes, Bonds , Bond UT funds, DCP
	3	Moderate chance of loss or gain +/- 15%)	Balanced and Equity UT funds, Bonds, DCP
	4	Moderately high chance of loss or gain +/- 20%)	Balanced and Equity UT funds, Bonds, DCP, Structured Products
	5	Moderately high chance of loss or gain (> 20%)	Equity Linked Notes, balanced and equity UT funds, Bonds, Alternative investments, DCP, Structured Products
	Indifferent	I am indifferent and I want to view all products under all options.	

*All % are illustrations of possible price fluctuation that may result in gains or losses to investor's capital.

2. We have products with different product tenor. Please select from the following options.

(You may select more than one option)

- a) Less than 1 year
- b) Equal to or greater than 1 year and less than 3 years
- c) Equal to or greater than 3 years and less than 5 years
- d) Equal to or greater than 5 years
- e) Indifferent - I am indifferent and I want to view all products under all options.

3. We have products in different currency denominations. Please select from the following options.

(You may select more than one option)

- a) Indifferent - I am indifferent and I want to view all products under all options.
- b) AUD
- c) CAD
- d) CHF
- e) CNY
- f) EUR

- g) GBP
- h) HKD
- i) JPY
- j) SGD
- k) USD
- l) NZD

4. We have products with different Capital-at-risk features. Please select from the following options.

- a) Non-Capital-at-risk product USD
- b) Indifferent- I am indifferent and I want to view all products under all options.

5. We have products with different potential income payment features. Please select from the following options.

- a) Potential income payment (Regular or One-off)
- b) No potential income payment
- c) Indifferent - I am indifferent and I want to view all products under all options.

6. We have different wealth product categories. Please select from the following options.
(You may select more than one option)

- a) Unit Trust
- b) Bonds (secondary market bonds)
- c) Structured Products
- d) Dual currency Investments
- e) Indifferent - I am indifferent and I want to view all products under all options.
- f) Others - please indicate_____

7. [Optional] We have products covering different geographical regions. Please select from the following options. [Only Applicable for UT]
(You may select more than one option)

- a) Asia (Excl Japan)
- b) Asia Pacific
- c) BRIC
- d) Emerging Market
- e) Europe
- f) Global Emerging Market
- g) Global

- h) Regional Emerging Market
- i) Indifferent - I am indifferent and I want to view all products under all options.

8.[Optional] We have products covering different geographical markets. Please select from the following options. [Only Applicable for UT]

(You may select more than one option)

- a) Brazil
- b) China
- c) Hong Kong
- d) Indonesia
- e) India
- f) Japan
- g) Korea
- h) Malaysia
- i) North America
- j) Other Single Countries
- k) Russia
- l) Other Asian Countries
- m) Other European Countries
- n) Singapore
- o) Taiwan
- p) UK
- q) Thailand
- r) Indifferent - I am indifferent and I want to view all products under all options.

9.[Optional] We have products covering different industry sectors. Please select from the following options. [Only Applicable for UT]

(You may select more than one option)

- a) Healthcare
- b) Property/REIT
- c) Technology
- d) Energy
- e) Mining
- f) Financials
- g) Precious Metal
- h) Not Classified
- i) Indifferent - I am indifferent and I want to view all products under all options.

10.[Optional] We have products issued by different fund houses. Below is a list of all fund houses with funds distributed by HSBC Singapore. Please select from the following options. [Only Applicable for UT]
(You may select more than one option)

- a) ABERDEEN STANDARD INVESTMENTS
- b) ALLIANCEBERNSTEIN (SINGAPORE) LTD
- c) ALLIANZ GLOBAL INVESTORS
- d) ALLIANZ GLOBAL INVESTORS (SINGAPORE) LTD
- e) BARCLAYS CAPITAL
- f) BLACKROCK GLOBAL FUNDS
- g) BOSERA ASSET MANAGEMENT
- h) CAPITAL GROUP
- i) EASTSPRING INVESTMENTS (AUTHORISED)
- j) EASTSPRING INVESTMENTS (RECOGNIZED)
- k) FIDELITY INVESTMENT MANAGEMENT
- l) FIDELITY INVESTMENT MANAGEMENT UCITS II ICAV
- m) FIRST SENTIER INVESTORS (SINGAPORE)
- n) FRANKLIN TEMPLETON INVESTMENTS
- o) FULLERTON FUND MANAGEMENT - SGD FUNDS
- p) FULLERTON FUND MANAGEMENT - USD FUNDS
- q) GOLDMAN SACHS ASSET MANAGEMENT
- r) HSBC GLOBAL ASSET MANAGEMENT (SINGAPORE)
- s) HSBC GLOBAL LIQUIDITY FUNDS
- t) HSBC INVESTMENTS HK
- u) INVESCO ASSET MANAGEMENT
- v) JP MORGAN ASSET MANAGEMENT
- w) LEGG MASON ASSET MANAGEMENT
- x) LION GLOBAL INVESTORS LIMITED
- y) LYXOR INTERNATIONAL ASSET MANAGEMENT
- z) PIMCO INVESTMENT MANAGEMENT
- aa) SCHRODER INVESTMENT MANAGEMENT
- bb) SCHRODER INVESTMENT MANAGEMENT (HONG KONG) LIMITED
- cc) UBS ASSET MANAGEMENT
- dd) UOB ASSET MANAGEMENT LTD
- ee) Indifferent - I am indifferent and I want to view products under all the options.

Our questionnaire may not have captured all product types, please let us know if the product you are looking for is not reflected in the questionnaire:

Product Filter Questionnaire Disclaimers

The Questionnaire is an objective filter for self-directed research (and described as such), and only assists the client in filtering products offered by HSBC Singapore.

The shortlisted products are based solely on the search / filter criteria inputted by the client and do not take into account the client's investment objective, financial situation or particular needs when shortlisting the products.

In Particular, HSBC Singapore has not taken into consideration the client's risk profile or existing investments and the list of shortlisted products may include investments the client currently holds or investments that may not reflect the client's risk appetite.

HSBC Singapore has not taken into consideration the client's investment experience and the list of shortlisted products may include products or services which the client is not qualified to purchase - for example, if the client is not an Accredited Investor (AI) or if the client has not passed the Customer Knowledge Assessment (CKA) requirement or if the client is not a Singapore resident. HSBC Singapore is not authorized to provide such products or services to the client if the client does not meet the product criteria.

Because this is an objective filtering process, there may not be any HSBC Singapore products which meet the client's search criteria; and the shortlisted products may not match the client's risk profile or appetite and may not be suitable for the client. The client must consider its own personal circumstances and seek independent advice if required.

If you are outside of Singapore, we may not be authorized to offer or provide you with the products and services available mentioned in the list of shortlisted products, in the country or region you are located or resident in.

HSBC Singapore does not solicit or recommend any products or provide any investment advice – and the list of shortlisted products must not be construed as a solicitation, recommendation or investment advice.