



|                                   | Transfer In   | Transfer Out  | Internal Transfer  |
|-----------------------------------|---|---|--|
| <b>US Stocks</b>                  | No charges  | <b>Transfer Fee:</b> 0.5% of market value + prevailing GST at 9% where applicable   | No charges   |
| <b>Singapore Stocks</b>           | <p><b>CDP charges:</b><br/>NCBO* (A-A), Joint Account (AB-A) &amp; Immediate Family Transfer (e.g. Father to Son): SGD5 (SGD5.45 inclusive of GST)</p> <p>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD81.75 inclusive of GST)</p> <p>Note: For transfer IN from CDP – full CDP charge of SGD10 (SGD10.90 inclusive of GST) or min. SGD75 (SGD81.75 inclusive of GST) (for CBO)</p> | <p><b>CDP charges:</b><br/>NCBO* (A-A), Joint Account (AB-A) &amp; Immediate Family Transfer (e.g. Father to Son): SGD58 (SGD5.45 inclusive of GST)</p> <p>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD81.75 inclusive of GST)<br/>Note: For transfer OUT to CDP – full CDP charge of SGD10 (SGD10.90 inclusive of GST) or min. SGD75 (SGD81.75 inclusive of GST) (for CBO)</p> <p>AND</p> <p><b>Stocks Transfer Fee:</b> 0.5% of market value + prevailing GST at 9% where applicable</p> | <p><b>CDP charges:</b><br/>NOTE: to be charged for both transferor &amp; transferee NCBO* (A-A), Joint Account (AB-A) &amp; Immediate Family Transfer (e.g. Father to Son): SGD5 (SGD5.45 inclusive of GST)<br/>Total Change in BO Transfer (A-B; non-Immediate Family): 1.5bps of settlement value, min SGD75 (SGD81.75 inclusive of GST)</p> |
| <b>Singapore Corporate Bonds</b>  | <p><b>CDP charges:</b><br/>SGD5 (SGD5.45 inclusive of GST)</p> <p>Note: For transfer IN from CDP – full CDP charge of SGD10 (SGD10.90 inclusive of GST)</p>   | <p><b>CDP charges:</b><br/>SGD5 (SGD5.45 inclusive of GST)<br/>Note: For transfer OUT to CDP – full CDP charge of SGD10 (SGD10.90 inclusive of GST) AND</p> <p><b>Bonds Transfer Fee:</b> USD100 (USD109 inclusive of GST)</p>  | <p><b>CDP charges:</b><br/>NOTE: to be charged for both transferor &amp; transferee: SGD5 (SGD5.45 inclusive of GST)</p>   |
| <b>Hong Kong Stocks</b>           | NCBO* - no charges<br>CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)   | NCBO* - No Stamp duty<br>CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)<br><br><b>Transfer Fee:</b> 0.5% of market value + prevailing GST at 9% where applicable   | NCBO* - no charges<br>CBO - Stamp duty (to be calculated and provided by custodian and charged to customer)  |
| <b>Singapore Government Bonds</b> | No charges  | No charges  | No charges   |
| <b>International Bonds</b>        | No charges  | <b>Transfer Fee:</b> USD100 (USD109 inclusive of GST)   | No charges   |
| <b>Structured Products</b>        | No charges  | <b>Transfer Fee:</b> 0.5% of market value + prevailing GST at 9% where applicable   | No charges   |

\*NCBO refers to "No Change in Beneficiary Ownership"

BO refers to "Beneficiary Ownership"

#### Important Notes

For securities, transfer in/out fees are charged based on the table of charges above at the value of the securities value based on the previous business day's closing price, unless advised otherwise by you. HSBC reserves the right to change such transfer fees without giving notice.

## UNIT TRUST

| NAME OF INVESTMENT | FUND CODE | CURRENCY | QUANTITY | ORIGINAL PURCHASE PRICE/<br>AVERAGE UNIT COST | REMARKS |
|--------------------|-----------|----------|----------|---|---------|
|                    |           |          |          |   |         |
|                    |           |          |          |   |         |
|                    |           |          |          |   |         |
|                    |           |          |          |   |         |
|                    |           |          |          |   |         |

### Details for Internal Transfer

#### Details of Transferor

Customer Name(s) \_\_\_\_\_

Investment Account No.    -       -

Reason for Transfer \_\_\_\_\_

Relationship to Transferee \_\_\_\_\_

I acknowledge that transfer fees and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no.    -         -    for Transfer Fees and applicable stamp duties.

Note: Transferor is the party(s) transferring out the securities

**Details of Transferee**

Customer Name(s) \_\_\_\_\_

Investment Account No. \_\_\_\_\_

\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_

(This crediting account will override all existing standing instructions for the same currency)

Coupon/Dividend Crediting Account no. \_\_\_\_\_

\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_

I acknowledge that transfer fees and stamp duties (inclusive of GST charges where applicable) shall be debited from my HSBC deposit account.

Please debit my HSBC deposit account no. \_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_ for Transfer Fees and applicable stamp duties.

**FundMax Administration Fee** (Applicable to transfer out of FundMax account only)

**Total Administration Fee for Transfer Out**

1% of current total market value to be transferred S\$ \_\_\_\_\_ x 1% = S\$ \_\_\_\_\_

The administrative fee including GST, where applicable, shall be debited from my HSBC deposit account.

**Debit and Instructions**

Debit my/our HSBC account no. \_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_

**Important Notes**

There is no fees involved for transfer in/out of Unit Trust. For Fundmax administration fees will be charged for transfer out.

**Declaration/Agreement by each Customer**

- I confirm that I am not an undischarged bankrupt and there has been no statutory demand served on me (whether individual or corporate) and no legal proceeding commenced against me at the time of this transfer. I understand that the approval of this transfer or any part thereof is at the sole discretion of HSBC Bank (Singapore) Limited ("HSBC") and HSBC is not obliged to give any reasons for rejecting any of the same. I understand that the completion of this transfer requires the participation and cooperation of third parties such as fundhouses, brokers and share depositories. I will not hold HSBC liable for any loss which I may incur or loss in connection with this transfer, including but not limited any rejection of this transfer or any part thereof or any delay in completing the transfer.
- I understand that I shall not execute any sale trade of the above securities/units until the transfer has been completed.
- I confirm that I approached HSBC to transfer the above securities/units and that I have no received any advice, suggestions or guidance from HSBC relating to the transfer and that the transfer I have decided to make is being made at my own volition.
- Where personal data is submitted by me on behalf of another individual, I represent and warrant to HSBC that all the necessary consents have been obtained from the relevant individuals and that I have retained proof of these consents, such proof to be provided upon HSBC's request.
- I confirm that I have received, read and understood the terms and conditions in the investment account opening booklet, copies of which are also available available at any branch of HSBC or at www.hsbc.com.sg and agree to be bound by the same.
- I acknowledge that HSBC does not guarantee the liquidity of the above securities/units that I am requesting to transfer into my HSBC account. I further acknowledge and understand that I may not be able to purchase more of the above securities/units from HSBC and that HSBC may not have the product literature for the above securities/units.
- (Where applicable) I acknowledge and agree that after the above securities/units have been transferred into my HSBC account, any subsequent transaction will be on an "execution-only" basis meaning I will not receive any advice, suggestions or guidance from HSBC relating to the above securities/units and I will independently consider the suitability of any subsequent transactions and proceed at my own risk.
- I understand that I will need to provide the original purchase price of the relevant securities/assets if I would like the information to be available on HSBC's system.
- I acknowledge that I am are solely responsible for understanding and complying with my tax obligations (including but not limited to, tax payment or filing of returns) in all jurisdictions in which those obligations arise from the above transfer of securities units. I acknowledge that HSBC does not provide tax advice and hence I confirm that I have had the opportunity to seek and obtain independent tax advice from a tax advisor of my/our choice about my tax position and obligations.
- I understand that the settlement date of the transaction will be used as the basis for the GST rate calculation where applicable.

**Signature of Customer**

\_\_\_\_\_  


Full name \_\_\_\_\_

Date \_\_\_\_\_


**Signature of Customer**

\_\_\_\_\_  


Full name \_\_\_\_\_

Date \_\_\_\_\_

**Signature of Customer**

\_\_\_\_\_  


Full name \_\_\_\_\_

Date \_\_\_\_\_

**Signature of Customer**

\_\_\_\_\_  


Full name \_\_\_\_\_

Date \_\_\_\_\_

**For Bank use only**

|        |                    |                         |  |
|--------|--------------------|-------------------------|--|
| Branch | Branch Cost Centre | Name of Staff           | Staff Contact  |
|        |                    | I/E Item - Product Code | Bonds & Securities - CMR RBD<br>GST Charges - VATOUP SEC |
|        |                    |                         | For Fundmax - UT: - CMR USF                              |